





# MAINSTREAMING FOOD SECURITY AND NUTRITION IN EMERGENCY PREPAREDNESS AND RESPONSE CAPACITY AND NEEDS ASSESSMENT REPORT

National Institute of Disaster Management (NIDM) (Ministry of Home Affairs, Government of India)



# MAINSTREAMING FOOD SECURITY AND NUTRITION IN EMERGENCY PREPAREDNESS AND RESPONSE CAPACITY AND NEEDS ASSESSMENT REPORT

National Institute of Disaster Management (NIDM) Ministry of Home Affairs, Government of India

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Mainstreaming Food Security and Nutrition in Emergency Preparedness and Response: Capacity and Needs Assessment Report

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### INTRODUCTION

#### 1. Introduction

Increase in the frequency and severity of disasters and extreme weather events is testimony of the fact that, Climate change is severely altering disaster risk landscape across the globe. Due to its geophysical and climatic conditions, India is exposed to multiple hazards and is one of the most disaster-prone countries in the world. According to Global Climate Risk Index it has remained in the top 10 worst affected countries by disasters for three straight years since 2018. Between 1987-88 and 2008-09, India has spent nearly 10572 million US\$ on relief for people affected by natural calamities<sup>1</sup>. Its average annual relief expenditure is 481 million US\$. According the 2015 Global Assessment Report on DRR, India's average annual loss from floods is estimated to be 7.4 billion US\$. Over 97 million people in India are currently exposed to extreme floods<sup>2</sup>. Around 68% of the country is drought prone in varying degrees. According to the Em-Dat database, in terms of number of people affected by drought since 1900, India ranks first globally. Since 1999 Odisha cyclone, country has witnessed multiple disasters including, tsunami, cyclones, storm surges, flash floods and earthquakes.

It has made simultaneous and significant progress to strengthen its capacities in disaster management. It has put in place very exhaustive instruments in form of acts, policies, plans and guidelines to manage disasters better. It is well supported by an equally efficient institutional systems and a strong political will which is reflected well through its commitments to the Sendai framework, COP and the PM's 10-point agenda. Its journey from 1999 Odisha cyclone to 2014 cyclone Phailin is well acclaimed globally for preventing human casualties.

However, with the increased frequency and severity of disasters, it is important to continue to invest in emergency preparedness and response strategies for a more rapid, predictable and effective response at the onset of, or in anticipation to, an

Mainstreaming Food Security and Nutrition in Emergency Preparedness and Response:Capacity and Needs Assessment Report

<sup>&</sup>lt;sup>1</sup>Reserve Bank of India, A Handbook of Statistics on State Finances 2003, A Study of State Budgets 2003 -04, 2007-08. Values are converted into USD at the rate of 01 INR = 65 USD.

<sup>&</sup>lt;sup>2</sup>Mohanty, Abinash. 2020. Preparing India for Extreme Climate Events: Mapping Hotspots and Response Mechanisms. New Delhi: Council on Energy, Environment and Water.

emergency. Institutional capacities would need constant adaptation to the changing risk landscape and socio-economic vulnerabilities.

Capacities in thematic sectors including food and nutrition, shelter, water sanitation and hygiene, health etc. are crucial as it caters to the most essential lifesaving needs post emergency. Food assistance generally emerges as the most essential lifesaving need that requires immediate attention after every disaster, as disaster disrupts all crucial dimensions of food security including food availability, access, utilisation, and stability. Post emergency, food security and nutrition are more complex than merely provisioning for the immediate and short-term food aid. Nutrition sensitive response includes layers of technical aspects in food aid and assistance which cuts across sectors including WASH, Health, shelter etc. Food and nutritional requirement vary significantly among different group. Humanitarian assistance for people with special needs such as, pregnant and lactating women, infant, elderly, patients including malnourished and those diagnosed with HIV and AIDs etc, will have to be designed and implemented differently.

The sector, therefore, requires a holistic and scientific approach to design the food relief and assistance programme so that it addresses the short-term lifesaving needs through immediate relief and safeguards long term outcomes of food and nutrition security.

### 2. Objectives

With the changing risk landscape, it is nothing but imperative that the capacity strengthening efforts are evolved to be a continuous and dynamic process so that the humanitarian structures are constantly updated and strengthened to meet any sudden or emerging humanitarian need. It is therefore, that National Institute of Disaster Management (NIDM) with technical support from United Nations World Food Programme (WFP) has conducted the capacity needs assessment with the objective to identify the current and latent capacities alongwith the capacity gaps so that, the capacity strengthening framework are designed to be more strategic to strengthen the Emergency Preparedness and Response (EPR) programmes. The study in itself is a starting point, expected to improve the understanding on current capacities and gaps, but at a more strategic level, in the long term, it is expected to contribute towards the following result areas: -

- 1. Improved institutional capacities for F&NS response in emergencies in India
- 2. Government Policies and programs are more inclusive and adaptive to meet F&NS needs of all marginalized community in emergency.

3. Improved learning platforms enable cooperation for learning exchange, replication and scale-up.

Findings from the capacity needs assessment study will contribute to the capacity intervention strategies and will contribute to the institutional learning and empowerment. It will inform the capacity and information gaps along with potential structural and policy reforms in the sector aimed at mainstreaming Food Security and Nutrition in the EPR in India.

#### 3. Methodology

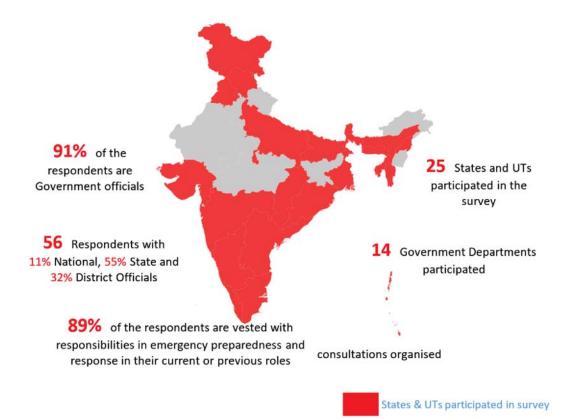
Humanitarian capacity strengthening is a dynamic and a continuous process, involving individuals, institutions, and departments. The study involved participation of a wide range of humanitarian workers engaged in response at various levels. This includes Government officials who are employed/deployed/attached with Government departments working at different verticals from national, state, district or the frontline. During data collection efforts were made to reach out to specific line departments with related work in disaster management and food security and nutrition. This included Disaster Management, National/State/District EOCs, Agriculture, Education, Food and Civil Supplies, Health, Water and Sanitation, Women and Child Development, Response Forces including NDRF, Armed forces who are at the frontline of emergency services, including food relief and last mile Government officials who are deployed in emergency response programmes.

Respondents could have had any assigned responsibilities in their current or previous roles with the Government in any of the fields related to emergency preparedness and response even if not directly related to FS&N. This includes disaster management planning, preposition, search and rescue, relief distribution, recovery rehabilitation etc. Efforts during data collection, were made to reach participants from diverse backgrounds, levels and range of departments, as food security and nutrition in disaster response has inter-sectoral connectedness.

A participatory and consultative approach was adopted right from the planning phase of the assessment process. Outreach process included organising regional consultations, with invitation to all relevant line departments across all states and Union territories. 04 regional consultations were organised were States and UTs from their respective zones participated. Participants were given a detailed orientation about the assessment and its overall objectives. This included a detailed session on the questionnaire, where each question was discussed at length and following the inputs from the regional consultation, tools were revised and translated

into vernacular hindi for some of the participating states. State departments were requested to organise a group discussion within their departments and submit individual responses. Some states and departments chose to submit one organisational/departmental response, instead of multiple individual responses.

Outreach and profile of the respondents are illustrated below:-





### **INSTITUTIONAL CAPACITY**

UNDRR defines capacity as "a combination of all the strengths and resources available within a community, society or organization that can reduce the level of risk, or the effects of a disaster. Capacity may include physical, institutional, social or economic means as well as skilled personal or collective attributes such as leadership and management. Capacity may also be described as capability. (UN/ISDR, Terminology: Basic Terms of Disaster Risk Reduction, 2004, p. 1). Section is designed to assess how food security and nutrition is integrated in plans and policies across the spectrum of humanitarian response, disaster risk reduction and climate change adaptation. It assesses, if plans and policies have special focus on areas with poor socio-economic vulnerabilities and severely prone to multiple hazards. The regular updation of the sectoral plans, SoPs and awareness levels on these aspects at different layers of the disaster management structure is critical to the successful implementation of the plans.

An important element to assess is that, at strategic levels how food security and nutrition is included? While in a humanitarian context it is extremely important to make provisions for the short term and immediate needs of food, it is equally important to understand if, food and nutrition security, is also included as a long-term outcome and backed adequately through direct and deliberate action in pursuit of these outcomes.

63% of the respondents stated that 'the current emergency relief efforts incorporate

Food relief and assistance as a short-term relief measure as a key lifesaving approach,' and 05% respondents were of the opinion that the current structures of Emergency Preparedness and Response does not include food security and nutrition as a significant component or outcome. This indicates a potential area of exploration, were efforts can be taken to look at the plans and policies at various levels from an approach which looks at food security and nutrition as an explicit long-term outcome alongwith the lifesaving short term relief measures. It is a

32%

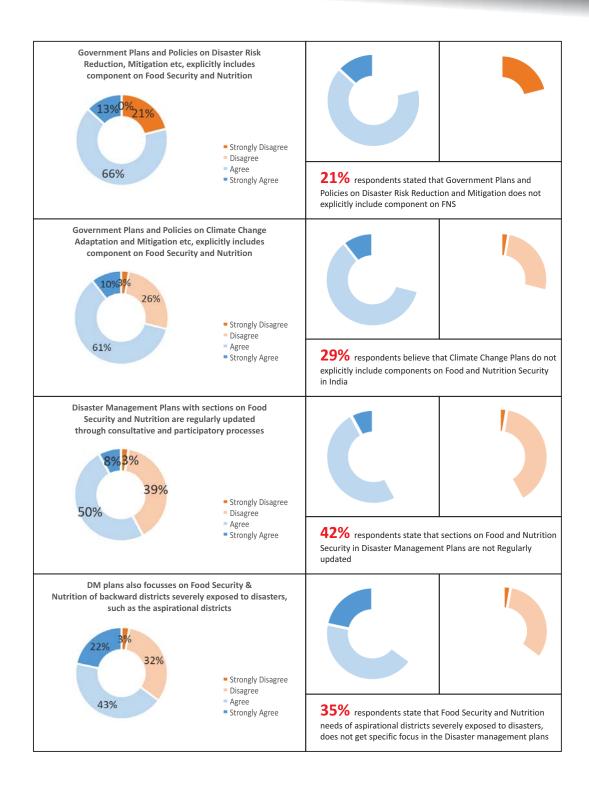
key pre-requisite for the agenda of mainstreaming food security and nutrition in the emergency preparedness and plans. Although, largely the current institutional capacities are fairly highlighted as a positive, there are few areas of opportunities which may further strengthen the existing capacities. In comparison to the disaster management plans, respondents identified a larger scope to bring focus of food security and nutrition in plans and policies on climate change adaptation and mitigation. Considering the current context of climate change and it may be an extremely important element to factor and mitigate the risk and fragilities induced by climate change.

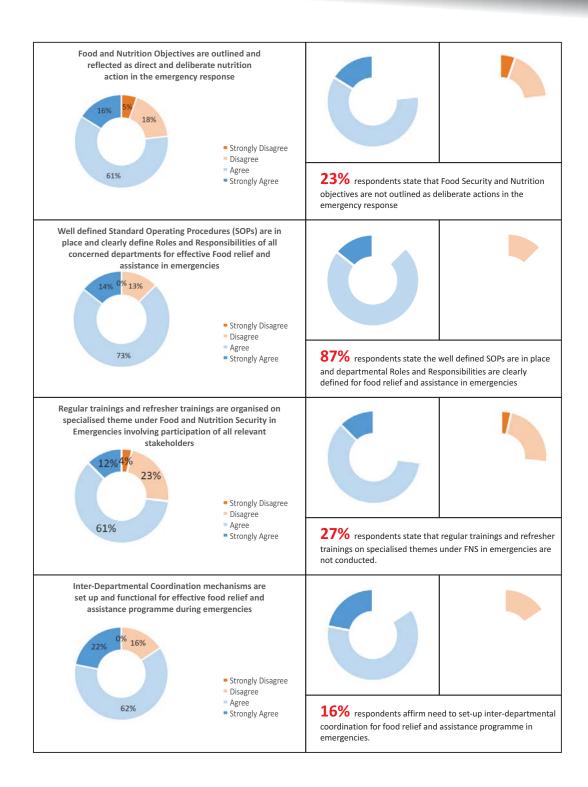
Nearly 42% of respondents have expressed need for bringing more consultative and participatory processes in formulation of plans and policies. Nearly 1/4th of the respondents, highlighted the need for regular trainings and refresher trainings on specialised themes under Food security and nutrition in emergencies are not conducted. 16% responses highlights need for a stronger inter-departmental coordination for food relief and assistance programme in emergencies.

Key findings, including current capacities and capacity strengthening areas are illustrated below: -

Findings	Capacity	Needs
Dis Dis	ngly Disagree gree	
	ngly Agree <b>16%</b> respondents stated the Nutrition Security is not integrated to the Nutrition Security is not integrated by the Nutrition Security is not	

### Summary of Findings







### **SURGE CAPACITY**

Lee Wassenhove (2006) has stated that, "the exclusion of logistics in planning leads to fire fighting mentality which makes the logistics management during disaster- a complex and challenging task." This may aggravate disaster impacts. In humanitarian relief chain, approximately zero lead time is desired and required. Emergency preparedness is critical element in ensuring a prompt humanitarian response through a timely flow of aid and assistance. Elements including surge capacity, prepositioning, are therefore critical in determining the effectiveness of any humanitarian response.

Surge capacity is the ability to scale up operations swiftly, smoothly and productively for fulfilling the humanitarian mandate and ensuring effective resource utilization with maximum outreach and impact. Surge capacity is not just an organization's ability to mobilize an emergency response or rapidly deploy staff. Rather, it is the result of a continual process, from preparedness planning through to response, and on to transition/recovery programming<sup>3</sup>.

We tend to consider surge capacity as steps or measures by an institution during emergency response stage, but in actual it should be considered a vital activity that must be carried out at every stage of the emergency cycle. The functions, roles and responsibilities must be developed in hand with regular updation to avoid last minute rush. This section assesses aspects including staff deployments, surge plan and resource pool, staff capacities and orientation and also looks into the structure of India disaster resource network (IDRN) and its role in effective and timely humanitarian response.

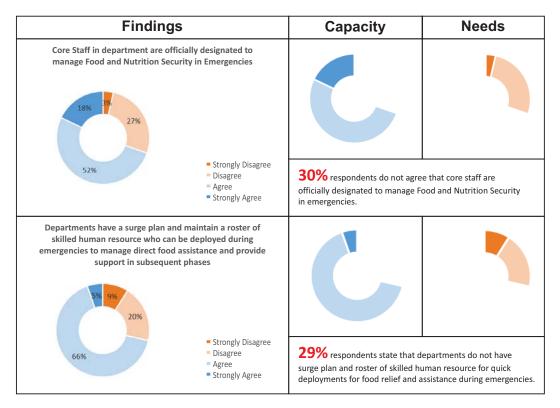
30% of the respondents do not agree that core staff are officially assigned duties to manage food and nutrition security in emergencies. Nearly same number of respondents state that departments do not have surge plan and roster of skilled human resource for quick deployments for food relief and assistance during

<sup>&</sup>lt;sup>3</sup>https://odihpn.org/magazine/understanding-surge-capacity-within-international-agencies/

emergencies. Maintaining a surge plan or a roster of skilled human resource deployed during emergencies to manage direct food assistance and provide support in subsequent phases is a necessary measure as it can save response time in resource mobilisation and can also significantly aid the capacity strengthening strategy for the roster members.

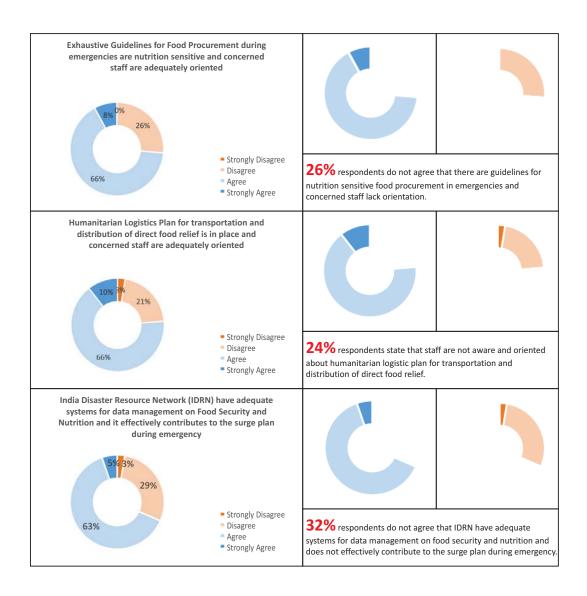
Nearly 26% of the respondents highlights need for staff orientation on guidelines for nutrition sensitive food procurement in emergencies. And 24% respondents brings attention to the need for humanitarian logistics plan for quick transportation and distribution of direct food relief.

India Disaster Resource Network (IDRN) is a web based platform, for managing the inventory of equipment, skilled human resources and critical supplies for emergency response<sup>4</sup>. 32% of the participants stated that the IDRN has potential to more effectively contribute to the surge plan during emergency.



### Summary of Findings

<sup>⁴</sup>https://nidm.gov.in/idrn.asp





### PROPOSITIONING

Prepositioning of the contingency stock is tried and tested mechanism for effective and timely response. However, with the food aid and assistance, the prepositioning comes with the numerous complexities. Perishability of the food commodities is an obvious challenge that needs a specific strategy. The varied nutritional requirement of different population groups, the diet diversity, the diversity in the cultural and traditional eating habits of the people are just to name few of the prepositioning challenges associated with food aid. Yet at the same time, India is known for its capacity of managing world's largest food safety net programme which is built on a very robust supply chain systems. The Food Corporation of India has exceeded the limits for foodgrain stocks. The targeted public distribution system also has widest coverage, reaching out to the remotest of the settlements. In the Covid pandemic, the food safety nets have proven to be an effective platform o which humanitarian aid and assistance is delivered. However, how adaptable and effective these structures would still be in a disaster affected region with serious disruption of services, is a matter of review.

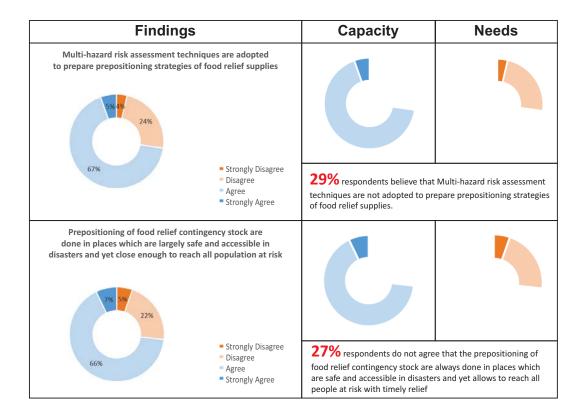
29% of the respondents' supports the statement that adoption of multi-hazard risk assessment techniques while formulating the prepositioning strategies of food relief supplies are needed. India being a multi-hazard prone nation with vast geographical boundaries, the prepositioning strategies must be framed multi-hazard risk approach

Emergency food supplies must not only be located at accessible distance but also need to be free from the exposure of hazards. Around 27% respondents agree that the prepositioning of food relief contingency stock are not always done in places which are safe and accessible in disasters. This may potentially impact disaster response and could be minimised through a risk informed prepositioning strategy.

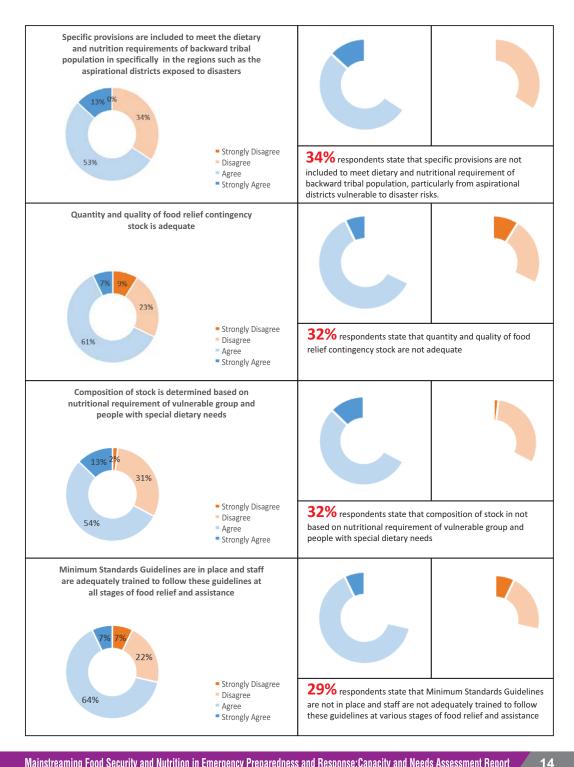
One of the key composite indicators by NITI Aayog which will have an impact on human development index of aspirational districts is health and nutrition. Health & Nutrition counts for 30% of the weightage under 'Transformation of Aspirational

Districts' - National Health Mission as inequalities in health outcomes continue persists across these districts till date. In-spite of such efforts by the state, 34% respondents state that specific provisions are not included to meet dietary and nutritional requirement of backward tribal population, particularly from aspirational districts vulnerable to disaster risks.

32% of the respondents agreed that quantity and quality of food relief contingency stock could be improved and nearly same number of respondents opined that composition of stock could be determined based on nutritional requirement of vulnerable group and people with special dietary needs. Around 29% of the respondents emphasised on the need of minimum standards guidelines and training of humanitarian on these guidelines.

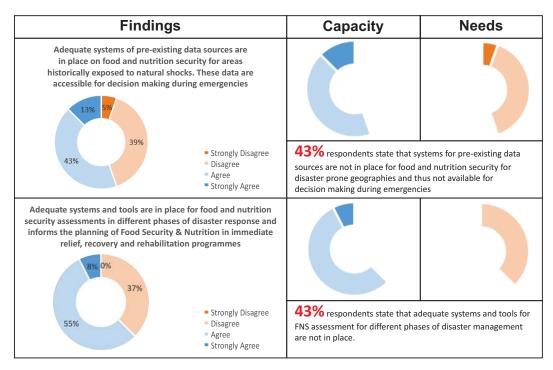


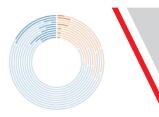
#### **Summary of Findings**



### ASSESSMENT

Assessment capacities are critical in ensuring that the humanitarian programmes well informed and actions are timely and appropriate. Use of pre-existing data sources are useful in taking informed decisions in the immediate aftermath of the disaster and can add significant value to the rapid needs assessment in determining appropriate humanitarian actions. Similarly, the technical capacities of conducting nutritional surveys is critical in taking decisions on food aid, supplementary feeding and therapeutic feeding as the per the context and the need. The section looks into the capacity to utilise pre-existing data sources in assessments and decision making and at the same time the assessment capacities in different phases. 43% of the respondents state that there are areas of opportunity for improvement under these segment. Key findings are illustrated below:-





### **DIRECT FOOD ASSISTANCE**

This section attempts to look into, how institutions and departments approach direct food aid and assistance in humanitarian emergency. Direct food assistance can be planned to support people nutritionally or economically. Food as an economic support, comes from the approach, where the food assistance is targeted to prevent adverse coping mechanisms of the affected community such as the disposition of productive assets. Similarly, Cash assistance is targeted to improve the economic access of the food. Dry food distribution, cooked food support, infant feeding mechanisms, food for work, conditional and unconditional cash transfer, voucher support, school feeding programme etc are different mechanisms through which food security can be ensured in a humanitarian context. Similarly, supplementary feeding and therapeutic feeding programmes are planned to reach the most vulnerable target groups. This section tries to assess these aspects of direct food assistance. Inclusion has a major focus in the section where we try to assess how the aspects related to targeting, needs of special groups, gender and age etc is incorporated in the current humanitarian structures.

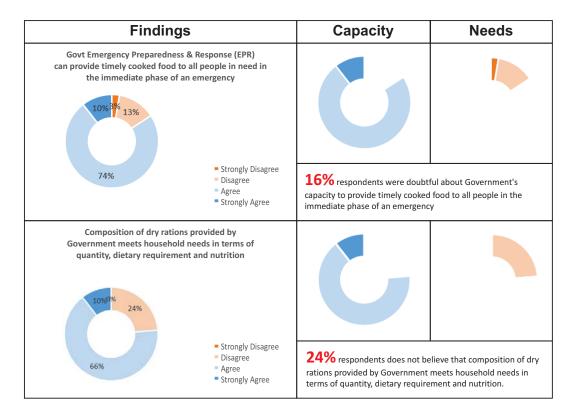
84% of the respondents extended trust in Government's capacity to provide timely cooked food to all people in the immediate phase of an emergency. However, 26% of the respondents believed that composition of dry rations provided by Government could be improved to meet the household needs of dietary requirement and nutrition. Quantity and quality of food assistance could also be improved. India's extensive system of food safety nets are through PDS meets most of the cereal requirements. Other dietary and nutritional components, including pulses, edible oil etc. can be determined through region specific food baskets and provisions can be made through direct distribution, cash assistance and livelihood support.

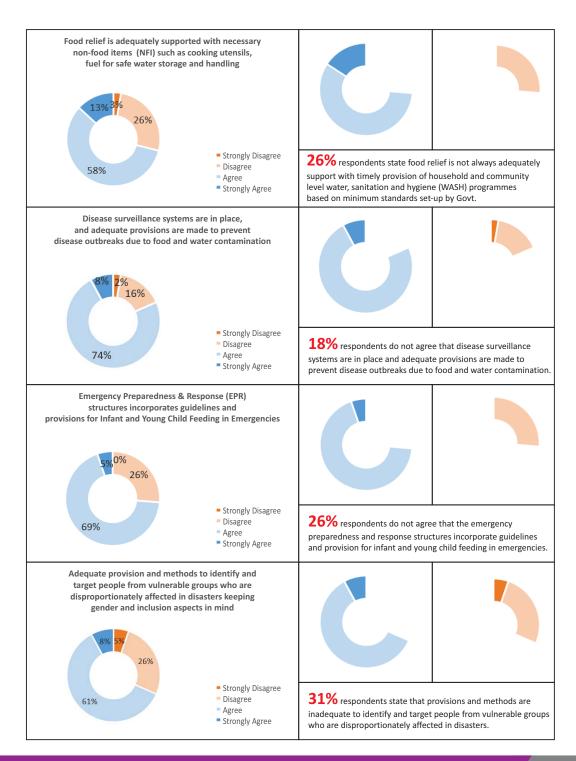
About 29% respondents' think that food relief is not always adequately supported with non-food items. As we witness areas of debate around how food assistance is assessed, targeted and delivered and with the SPHERE Minimum Standards being revised, we observe that there are challenges in implementing food relief due to inadequate and untimely support of household and community level water,

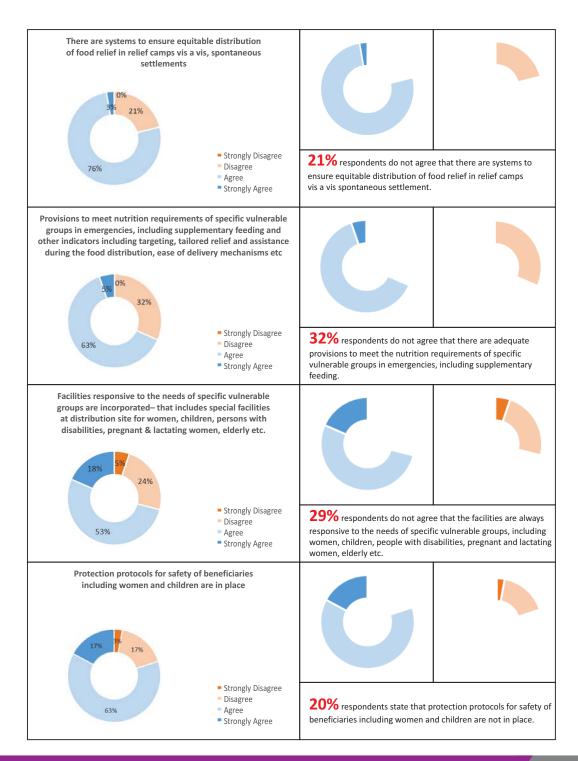
sanitation and hygiene (WASH) programmes based on existing minimum standards. 26% respondents agree upon in having a converging approach.

Some of the key findings, highlight need for improved capacities in few technical areas, including infant child feeding, supplementary and therapeutic feeding etc. 31% respondents highlighted the need for inclusive targeting, including capacity strengthening to reach people with special dietary needs. Issues including protection and safety of women and children were raised by 20% of the respondents. Summary of findings on direct food assistance is illustrated below:-

#### **Summary of Findings**





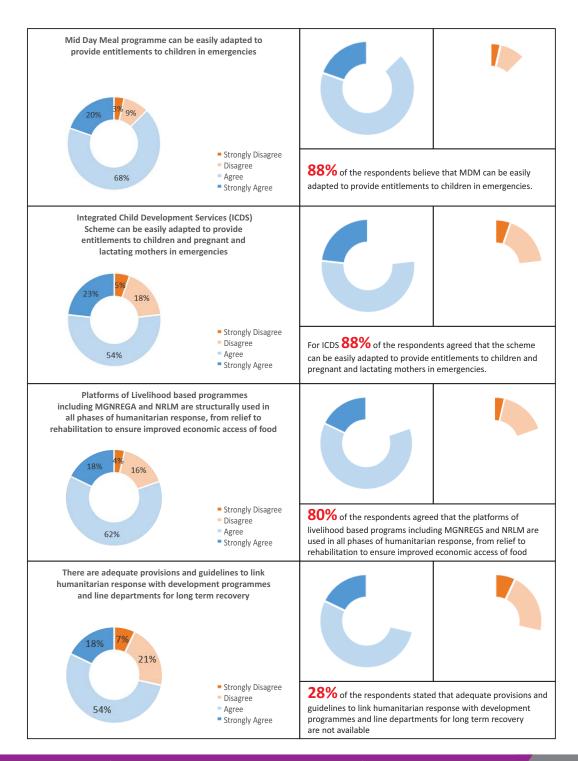




**SAFETY NETS** 

India's social safety net is extensive. India's Public Distribution System is the world's largest food-based social program. During the Covid pandemic, India has also based it's humanitarian assistance on the extensive platform of the social safety nets. The PDS initially provided 5 kg of either rice or wheat and 1 kg of preferred pulses per month free, offered in two instalments. Post lockdown, an additional 5kg of wheat/rice per month was provided through PDS as food relief for targeted groups such as migrant workers, through Atma Nirbhar Bharat relief packages. This section looks at the safety net schemes from the perspective of how effectively it can be adapted to extend support to disaster affected communities during humanitarian relief, recovery and rehabilitation. Could these provide a platform for ex-ante approaches and anticipatory action? Inter-sectoral coordination, targeting and inclusion are important aspects in the section. Key findings are illustrated below:-

Findings	Capacity	Needs	
Adequate provisions are in place to adapt Targeted Public Distribution System to respon the needs of the most vulnerable in an emer	nd to		
= Disa 64% = Agre	to adapt the Targeted PDS syste gly Agree affected communities in disaste	<b>80%</b> respondents agree that adequate provisions are in place to adapt the Targeted PDS system to respond to the needs of affected communities in disasters. <b>20%</b> of the respondents did not agree with the statement.	

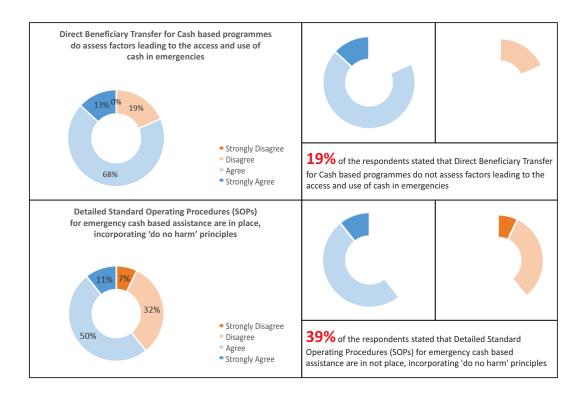




### **CASH BASED ASSISTANCE**

Cash based assistance are extensively used in humanitarian responses globally to benefit disaster affected communities in many ways. Conditional and unconditional cash transfer are useful to meet the basic essential needs of the family. Improvements in capacity of families to purchase food also useful in averting negative coping mechanisms and have a more sustainable and long-term result. Models of cash-based programmes can also be useful in the building back better, through creation of resilient infrastructure and assets. It can also be a useful tool in restoring market functions disrupted by disasters, thus paving a way for economic recovery. However, the Cash based approach cannot be applied in every context and has to be carefully reviewed if situations are conducive and enabling to adopt such approaches. Issues related to protection, market functioning etc are critical while designing these programmes. India has set great precedent in the Covid pandemic through direct beneficiary transfer (DBT), which is a testimony of the existing capacities in this domain. This section looks into the aspects of inclusion, targeting, adaptability of schemes etc for humanitarian assistance. Key findings are illustrated below:-

Findings		Capacity	Needs
Adequate provisions and capacity for implementing direct beneficiary transfers (DBT) assistance are in place for emergency response			5
23% 54%	<ul> <li>Strongly Disagree</li> <li>Disagree</li> <li>Agree</li> <li>Strongly Agree</li> </ul>	<b>61%</b> of the respondents disagree with the statement that there are adequate provisions and capacity for implementing direct beneficiary transfers (DBT) assistance are in place for emergency response	

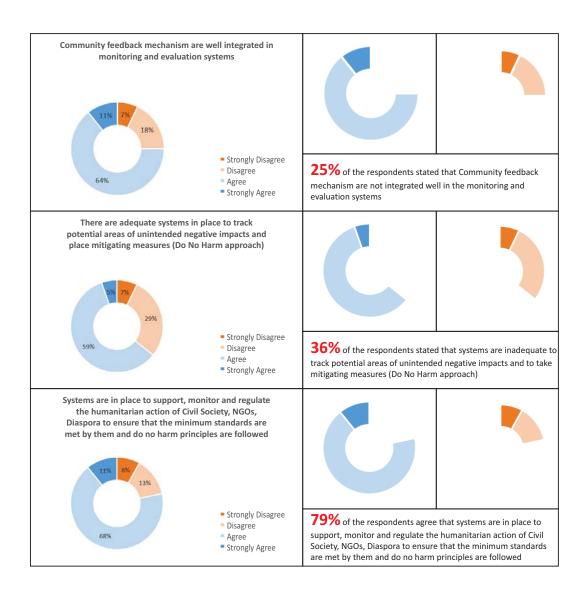




### **EVALUATION AND ACCOUNTABILITY**

Humanitarian actions should be driven by "the do no harm principle." There could be unintended adverse impacts of a humanitarian action as well. It is imperative to have adequate provisions and systems in place to monitor and evaluate humanitarian actions to ensure that these actions are not resulting into any intended or unintended adverse results. There are well evolved and tested tools in the humanitarian sector to measure the impacts of the intervention. Post distribution monitoring, food consumption patterns, dietary diversity, income expenditure survey, nutritional survey etc are popularly used to assess the impacts of the humanitarian programme. This section briefly covers areas on the community feedback mechanisms, and other monitoring mechanisms. The findings are illustrated below:-

Findings	Capacity	Needs
There are adequate monitoring and evaluation systems in place for assessing the impact of Government responses on food security and nutrition in emergencies		
61% Strongly Disagree Disagree Agree Strongly Agree	<b>30%</b> of the respondents belie monitoring and evaluation syster impact of Government responses in emergencies	ns in place for assessing the



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The National Institute of Disaster Management (NIDM) was constituted under an Act of Parliament with a vision to play the role of a premier institute for capacity development in India and the region. The efforts in this direction that began with the formation of the National Centre for Disaster Management (NCDM) in 1995 gained impetus with its redesignation as the National Institute of Disaster Management (NIDM) for training and capacity development. Under the Disaster Management Act 2005, NIDM has been assigned nodal responsibilities for human resource development, capacity building, training, research, documentation and policy advocacy in the field of disaster management. NIDM provides Capacity Building support to various National and State level agencies in the field of Disaster Management & Disaster Risk Reduction. The Institute's vision is to create a Disaster Resilient India by building the capacity at all levels for disaster prevention and preparedness.

The United Nations World Food Programme is the world's largest humanitarian agency fighting hunger worldwide. The mission of WFP is to help the world achieve Zero Hunger in our lifetimes. Every day, WFP works worldwide to ensure that no child goes to bed hungry and that the poorest and most vulnerable, particularly women and children, can access the nutritious food they need.



# Mainstreaming Food Security and Nutrition in Emergency Preparedness and Response

**Capacity and Needs Assessment Report** 



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